

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SHEN	Examiner:	UNKNOWN
Serial No.:	10/776,139	Group Art Unit:	2814
Filed:	FEBRUARY 10, 2004	Docket No.:	8688.128USD1
Title:	SEMICONDUCTOR CHIP MODULE		

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450 on June 7, 2004.

By: Michael D. Schumann  
Name: Michael D. Schumann

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a photocopy of the Filing Receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The Filing Receipt is erroneous in the following respects as reflected in the papers originally filed:

The filing date of the patent application is incorrect. It should read --02/10/2004-- rather than "02/09/2004."

Also enclosed is a photocopy of the returned Express Mail label, which was stamped by the United States Postal Service with the appropriate filing date.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: June 7, 2004

  
Michael D. Schumann  
Reg. No. 30,422  
MDS:PLStov

**23552**

PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/776,139	02/09/2004	2814	385	08688.0128USD1 ✓	9	6	2

*Insert  
23552  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903*

*-- 02/10/2004 -- JEL*

CONFIRMATION NO. 3033  
FILING RECEIPT



\*OC000000012567472\*

Date Mailed: 05/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Ming-Tung Shen, Taipei City, TAIWAN;

**Domestic Priority data as claimed by applicant**

This application is a DIV of 09/407,204 09/28/1999

**Foreign Applications**

TAIWAN 88212813 07/30/1999

**If Required, Foreign Filing License Granted: 05/07/2004**

**Projected Publication Date: 08/19/2004**

**Non-Publication Request: No**

**Early Publication Request: No**

**\*\* SMALL ENTITY \*\***

**Title**

Semiconductor chip module

**Preliminary Class**

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SHEN Examiner: UNKNOWN  
Serial No.: 10/776,139 Group Art Unit: 2814  
Filed: FEBRUARY 10, 2004 Docket: 8688.128USDI  
Confirmation No.: 3033  
Title: SEMICONDUCTOR CHIP MODULE

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450 on June 27, 2004.

By: Kristen A. Wacek  
Name: Kristen A. Wacek

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**23552**  
PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Other: Request for Corrected Filing Receipt, Copy of Filing Receipt with Correction Marked in Red
- Other: Copy of Returned Express Mail Label Showing Date Stamp of February 10, 2004
- Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed. *[Signature]*

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Michael D. Schumann  
Name: Michael D. Schumann  
Reg. No.: 30,422  
MSchumann:PLStov



Customer Copy

EXPRESS

MAIL

UNITED STATES POSTAL SERVICE

Post Office to Addressee

ORIGIN (POSTAL USE ONLY)			DELIVERY (POSTAL USE ONLY)		
PO ZIP Code 55402	Day of Delivery <input checked="" type="checkbox"/> Next Day <input type="checkbox"/> Second Day	Flat Rate Envelope <input checked="" type="checkbox"/> <i>3.60</i>	Delivery Attempt Mo. Day	Time Period AM <input type="checkbox"/> PM <input checked="" type="checkbox"/> <i>1:45 PM</i>	Employee Signature <i>John</i>
Date in Mo. Day Year 10 12 04	Postage \$1.00	Return Receipt Fee <input type="checkbox"/>	Delivery Attempt Mo. Day	Time Period AM <input type="checkbox"/> PM <input checked="" type="checkbox"/> <i>1:45 PM</i>	Employee Signature <i>John</i>
Time to sign <i>1:45 PM</i>	Military Day (Mo. Day Year) <input type="checkbox"/> AM <input type="checkbox"/> PM <i>1:45 PM</i>	Return Receipt Fee <input type="checkbox"/>	Delivery Attempt Mo. Day	Time Period AM <input type="checkbox"/> PM <input checked="" type="checkbox"/> <i>1:45 PM</i>	Employee Signature <i>John</i>
Weight 0.00 lbs	Intl Adm Country Code 00000000000000000000000000000000	COD Fee <input type="checkbox"/>	Delivery Attempt Mo. Day	Time Period AM <input type="checkbox"/> PM <input checked="" type="checkbox"/> <i>1:45 PM</i>	Employee Signature <i>John</i>
No Delivery <input type="checkbox"/>	Acceptance Check Initials <i>MDG</i>	Total Postage & Fees <i>\$3.60</i>	NOT DELIVERED <input type="checkbox"/>		
CUSTOMER USE ONLY			Federal Agency Acct No. 00000000000000000000000000000000 Postal Service Acct No. 00000000000000000000000000000000		
FROM: PLEASE DRAFT 612-332-5300 MERCHANT & GOULD 80 S 8TH ST STE 3200 MINNEAPOLIS MN 55402-5336 <i>8688-280501</i> MDG			TO: PLEASE PRINT MAIL STOP 2244-4400 COMMISSIONER FOR PATENTS P.O. BOX 42900 ALEXANDRIA VA 22313-4290 MDG		
PRESS HARD. You are making 3 copies.					
FOR PICKUP OR TRACKING CALL 1-800-222-1811 <a href="http://www.usps.com">www.usps.com</a>					